

Register Now

150th Anniversary Investment Management Roundtable

MARCH 12-14, 2023 | ORLANDO, FL

Save the Date


AGENDA



SUNDAY
March 12



MONDAY
March 13



TUESDAY
March 14

2:00 PM - 6:30
PM

REGISTRATION

3:00 PM - 4:15
PM

PLAN SPONSOR-ONLY ROUNDTABLE

EXCLUSIVE FOR 401(K), 403(B), 457(B), 457(F) DC PLANS

Corporate and public plan sponsors will have an opportunity to discuss pressing issues affecting retirement plan management in private exclusive sessions for plan sponsors only. This popular session is always a top choice among plan sponsor peers sharing solutions. This year's roundtable will be hosted OUTSIDE (weather permitting) in a private area of the gorgeous Ritz-Carlton resort.

Corporate and Public/Educational/Non-Profit DC Plans

CLOSED TO PRESS

SPEAKER

**William (Chris)
Biddle**

KENTUCKY
PUBLIC
EMPLOYEES'
DEFERRED
COMPENSATION
AUTHORITY
Executive Director

Colleen Davis

STATE OF
DELAWARE
Treasurer

Reuben Escobedo

Manager Financial
Benefits

**4:30 PM - 5:30
PM**

WELCOME KEYNOTE

**Stand Out Communication - Cultivating Connection,
Collaboration, and Transformation**

Connectivity is vital to business (and life) success, especially in today's world. Unfortunately, it doesn't always come naturally, or authentically, or at all! That's where Human Behavior and Communication Expert Connie Podesta comes in. With a healthy dose of psychology, humor, and an empowering understanding of human nature, Connie will help attendees gain insights on recognizing the communication styles of both themselves and others – making navigating conversations and negotiating needs a thousand times easier. Want to connect more easily with co-workers, plan participants and even within your inner circle of those closest to you? Refine communication skills needed to build relationship bridges and succeed faster in this transforming opening DC East session.

SPEAKER

Connie Podesta

HALL OF FAME
SPEAKER
Author, Behavior
Expert

5:30 PM

NETWORKING RECEPTION

Come share a refreshing drink while you network with peers attending DC East.

Register Now

2023 STATE OF RETIREMENT INVESTING CONFERENCE


MARCH 12-14, 2023 | ORLANDO, FL

CONFERENCE PRESENTATIONS

AGENDA


SUNDAY
March 12


MONDAY
March 13


TUESDAY
March 14

7:30 AM - 8:30
AM

NETWORKING BREAKFAST

8:30 AM - 8:50
AM

WELCOME/OPENING REMARKS

The State of Retirement Investing in 2023

SPEAKER

Nikki Pirrello

PENSIONS & INVESTMENTS
President and Publisher

8:50 AM - 9:20
AM

REGULATORY UPDATE

SECURE 2.0 and EBSA's Aim to Improve Employee's

Financial and Mental Wellness in 2023 and Beyond

Get an insider's view on retirement policy updates including the numerous provisions of the recently passed SECURE 2.0 legislation and what that means for DC plans across America. EBSA's Principal Deputy Assistant sits down with P&I's Publisher in this exclusive fireside chat sharing details about this year's emphasis on the holistic well-being of employees, both financially and mentally, as the workforce emerges from the aftermath of the ongoing pandemic.

INTERVIEWER

Nikki Pirrello

PENSIONS & INVESTMENTS
President and Publisher

SPEAKER

Ali Khawar

U.S. DEPARTMENT OF LABOR (EBSA)
Principal Deputy Assistant

**9:20 AM - 10:40
AM**

PANEL & ROUNDTABLE

Accomplishing so Much in so Little Time - Meeting the New Secure 2.0 Provision Deadlines

The new SECURE 2.0 landmark legislation recently signed into law by President Biden is more than 350-pages with 92-sections containing hundreds of new provisions such as expanding automatic enrollment, making 401(k) plans more accessible to part-time workers, allowing employers to make matching contributions to a 401(k) plan, 403(b) plan or SIMPLE IRA based on qualified student loan payments.

Some of the provisions already took effect on January 1, 2023, such as increasing the RMD age to 73. Many more take effect in 2024, which leaves plan sponsors less than a year to prepare and make the necessary changes that will continue to be rolled out over the next several years.

Government plans which are exempt from ERISA are still subject to some parts of SECURE 2.0 since the legislation amends tax code sections for 403(b) and 457 plans.

While the changes increase savings potential for millions of Americans, there are widespread implications for the entire

retirement industry. How do plan sponsors keep up the pace with so many changes?

***The last 30-minutes of this session will be spent in roundtable discussions sharing strategies for meeting the timeline demands of all the SECURE 2.0 changes to retirement plans.**

MODERATOR

Lance Schoening

PRINCIPAL ASSET MANAGEMENT
Director of Policy

SPEAKER

Colleen Davis

STATE OF
DELAWARE
Treasurer

Doug Gardner

SENECA FOODS
CORPORATION
Director, Corporate
Human Resources

Ron Ulrich, CPC, QKA, QPA

ADP RETIREMENT
SERVICES
Vice President,
Product
Consulting and
Compliance

Holly Verdeyen

MERCER
Partner, US
Defined
Contribution
Leader

Curt Young

AON WEALTH
SOLUTIONS
Partner

10:10 AM

ROUNDTABLE DISCUSSION

What is Your Plan Doing to Prepare for Upcoming Secure 2.0 Deadlines?

FACILITATORS

Lance Schoening

PRINCIPAL ASSET
MANAGEMENT
Director of Policy

Ron Ulrich, CPC, QKA, QPA

ADP RETIREMENT
SERVICES
Vice President,
Product

Holly Verdeyen

MERCER
Partner, US
Defined
Contribution
Leader

Curt Young

AON WEALTH SOLUTIONS
Partner

**10:40 AM - 11:10
AM**

NETWORKING BREAK

Enjoy face-to-face conversations with peers and industry experts in P&I's unique no-exhibition format connections hub. This open meeting space provides a safe area for connecting in-person without having to shuffle through crowds of people so you can focus on sharing plan management strategies. Grab a coffee, enjoy a morning snack or check your email in specially designated space where you can relax and unwind between sessions or host one-on-one meetings with conference attendees.

**11:10 AM - 12:10
PM**

KEYNOTE

Secure 3.0 and Behavioral Economics - Making the Best Nudge Even Better

Save More Tomorrow is a behavioral intervention designed to make saving for retirement as painless as possible. It has already benefited more than 25 million Americans using what Behavioral Economist Shlomo Benartzi refers to as a savings "nudge." Discover how this effective strategy led to SECURE 2.0. On the horizon is Shlomo's vision of how a new "SECURE 3.0" should make the best nudge even better. As he points out, there's a huge blind spot of Secure 2.0, which is what to do about personalization and individual differences. When it comes to accumulation, do we want a single parent with maxed out credit cards to be auto-enrolled and auto-escalated? And when it comes to decumulation, small differences in preferences and circumstance can result in a retirement paycheck that is 6X bigger.

SPEAKER

Shlomo Benartzi, Ph.D.

UCLA ANDERSON / PENSIONPLUS

Behavioral Economist, Professor Emeritus / Founder and CEO

JOINED BY

George P. Fraser,
CRPS
RBG ADVISORS
Managing Director

Sheyla B.
Peterson
UPS
Retired Director -
Corporate Finance

**12:10 PM - 1:00
PM**

PANEL DISCUSSION

Continuing the Discussion - How Does Your Plan Provide Guaranteed Income to Retirees?

Upon retirement, an employee will have access to Social Security or annuities, perhaps a defined benefit pension plan or defined contribution savings. For those who don't have access to a pension and need more guaranteed income than Social Security provides, what guaranteed solutions can employers make available to their employees? The discussion will also focus on answering these questions:

- How can defined contribution savings become a source of guaranteed income?
- What types of income streams may be on the horizon for participants nearing retirement?
- How does the recent retirement regulation impact guaranteed income solutions?

MODERATOR

Jennifer Ablan
PENSIONS &
INVESTMENTS
Editor-in-Chief and
Chief Content
Officer

SPEAKER

John Doyle
CAPITAL GROUP ||
AMERICAN FUNDS
Senior Retirement
Strategist

Patrick Goessling
METLIFE
Sales Director,
Institutional
Income Annuities

Karla Kirkling
CHICAGO PUBLIC
SCHOOLS
Executive Director
of Healthcare &
Benefits

Kim Olson
WESPATH
BENEFITS AND

Tamiko Toland
TIAA
Managing Director,

INVESTMENTS
Director,
Retirement Plans

Head of Lifetime
Income Strategy
and Market
Intelligence

1:00 PM - 2:00
PM

LUNCHEON

CONCURRENT SESSIONS

2:00 PM - 2:50
PM

TRACK A PANEL

The Arbitration Dilemma: The Supreme Court Declines to Play Umpire in an ERISA Dispute

The U.S. Supreme Court in January declined to review a case that DC industry members had hoped would provide clearer signals to lower courts about whether ERISA complaints should be addressed through arbitration or at trial. In *Cintas Corp. et al. vs. Hawkins et al.*, the company and its fiduciaries wanted the justices to overturn an appeals court ruling and a district court ruling favoring former employees who alleged ERISA violations in a 401(k) plan.

These courts supported the ex-employees saying that arbitration agreements must be written into the retirement plan and that such agreements in individual employment contracts don't extend to ERISA disputes. Cintas disputed the courts' interpretation of arbitration's impact in ERISA cases. The company also contended that different federal appeals courts have issued different interpretations of arbitration's role in ERISA disputes. Panelists will discuss the legal landscape absent the Supreme Court's intervention and how plan sponsors should proceed if they want to compel arbitration in an ERISA dispute.

MODERATOR

Robert Steyer

PENSIONS & INVESTMENTS
Reporter

SPEAKER

Kevin L. Walsh

GROOM LAW
Principal

Jodi Epstein

IVINS, PHILLIPS &
BARKER,
CHARTERED
Partner

**2:00 PM - 2:50
PM**

TRACK B PANEL

Helping Participants Pay Off Student Loan Debt, Build an Emergency Fund and Save for Retirement During Financially Lean Times

After a three-year pandemic pause, student loan payments resumed in January 2023. This puts pressure on participants who are early in their careers and earn less money. They may be tempted to postpone saving for their retirement. That's why it's so important to educate workers on compounding interest which, over time, can build to significant savings by the time of retirement. Missing opportunities by not contributing enough to receive the company match is turning down "free money." Thanks to the new SECURE 2.0 legislation, some employers will match retirement savings to the amount of student debt paid off each month. Plan sponsors discuss how their plans are helping tackle these participant savings challenges and engage savers over the length of their entire career. Plan sponsors also discuss how not having an emergency fund often leads to higher plan leakage – so it's in the employer's best interest to establish ways to encourage savings to various buckets to achieve the best outcomes.

MODERATOR

Julie Tatge

PENSIONS & INVESTMENTS
Executive Editor

SPEAKER

Zhi Xu Martinez

VANGUARD
Senior Manager,
Vanguard Advice
and Wellness

Jennifer Mausolf

MUNICIPAL
EMPLOYEES'
RETIREMENT
SYSTEM OF
MICHIGAN
Communications

MALIKA TERRY

UPS
Vice President,
Total Health and
Retirement
Programs

and Retirement
Strategies Director

Paul Visconti

AVANGRID
Director
Retirement
Programs and
Investments

**2:50 PM - 3:00
PM**

TRANSITION BREAK

**3:00 PM - 3:50
PM**

TRACK A PANEL

The Wait is Over - DC Plans Now Have More Discretion to Embrace ESG

The DOL's recent final ruling on ESG rolls back prior administration restrictions on environmental, social and governance factors when selecting retirement investments. The final rule gives fiduciaries more discretion to determine the amount of weight that any factor should have on the risk-return analysis. It also takes into account participant preferences when constructing a DC fund menu.

- How does this affect existing DC plans who've been considering adding ESG?
- What lessons can we learn from an overseas plan sponsor who has embraced ESG for decades?
- What's ahead for ESG in 2023?

MODERATOR

Brian Croce

PENSIONS & INVESTMENTS
Washington Reporter

SPEAKER

Anthony Eames

CALVERT
RESEARCH AND
MANAGEMENT
Managing Director

Pieter Kiveron

AKZONOBEL
Global Pensions
Director, HR Global
Rewards
Management

Liana Magner

NATIXIS
INVESTMENT
MANAGERS
Executive Vice
President, Head of

Jeff Vilker

IMPAX ASSET
MANAGEMENT
Director of Defined
Contribution

**3:00 PM - 3:50
PM**

TRACK B PANEL

**Doing Everything Right but Still Losing Money -
Providing Financial Education About Investing Over
the Long Term**

How are plan sponsors delivering key messages to continue saving for retirement – even when markets are down? The fear of loss can cost investors in big ways. When markets suffer big losses, investors are often hesitant to put money into the market until it feels safe. However, statistics show that a run of stocks is often the riskiest time to invest. But when markets are low, stocks are at a discount, which means there's far greater upside opportunity. Discover ways plan sponsors are providing education about investing and ways to mitigate risks during volatile financial times and recession.

SPEAKER

J. Brian Coleman

DAWN FOODS
Vice President
Total Rewards

Michael Jabs

THE KRAFT HEINZ
COMPANY
Associate Director,
Pensions

**3:50 PM - 4:15
PM**

NETWORKING BREAK

**4:15 PM - 6:00
PM**

**INTERACTIVE ACTIVITY and NETWORKING
RECEPTION**

Pensions & Investments Retirement Feud

One of TV's favorite gameshows, *Family Feud*, is the inspiration for this interactive and educational "**RETIREMENT FEUD**" interactive activity. Join in the fun and learn about plan sponsor perspectives on important aspects of managing a retirement plan from investment strategies to helping generate retirement

income to educating plan participants.
Gameshow Host: **Rappin Reuben**

TEAM A - PLAN SPONSORS

William Biddle

KENTUCKY PUBLIC
EMPLOYEES' DEFERRED
COMPENSATION AUTHORITY
Executive Director

Egenia Dixon

FEDERAL THRIFT
Auditor, Office of Planning
and Risk, Enterprise Risk and
Internal Controls Division
(ERIC)

Michael Jabs

THE KRAFT HEINZ COMPANY
Associate Director, Treasury -
Pensions

Jennifer Mausolf

MUNICIPAL EMPLOYEES'
RETIREMENT SYSTEM OF
MICHIGAN (MERS OF MI)
Communications and
Retirement Strategies Director

Paul Visconti

AVANGRID
Senior Director of Retirement
Programs and Investments

TEAM B - PROVIDERS

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150th Anniversary DIVERSITY IN RETIREMENT

MARCH 12-14, 2023 | ORLANDO, FL

SAVE THE DATE

AGENDA



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March 12



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TUESDAY
March 14

**7:30 AM - 8:30
AM**

NETWORKING BREAKFAST

**8:30 AM - 9:00
AM**

KEYNOTE

Creating a Culture of Belonging - Expanding Your Diverse Retirement Team Through Mentoring

P&I wants to help support the NextGen members of your retirement team with the launch of a new program at the upcoming DC West Conference, this fall (October 22-24 in Carlsbad, CA) P&I's Publisher, Nikki Pirrello, describes how the program will work in support of the rising stars of your retirement team. Diversity, equity, inclusion and belonging go a long way towards creating the most highly collaborative and productive teams. DEI&B expert Curtis Hill shares his insights on why this is so meaningful to boosting your team's success.

SPEAKER

Nikki Pirrello

PENSIONS & INVESTMENTS
President and Publisher

Curtis Hill

CULTURE OF BELONGING
DEI&B Expert and Founder

**9:00 AM - 9:50
AM**

PANEL

Analyzing Your Plan's Data to Make More Informed Decisions

Data analytics can provide details for identifying specifics on employee segments based such as age, role and tenure. The information can help pinpoint employees and groups most at risk of falling short in their retirement savings. In this session, plan sponsors share intel on how they are using data to improve retirement outcomes and measure the effectiveness of the plan.

MODERATOR

Bill Ryan, CAIA

SPHR

NEPC
Partner, Head of
Defined
Contribution
Solutions

SPEAKER

Erin Donnelly

BANK OF
AMERICA
Managing Director,
Institutional
Retirement
Product
Management,
Distribution for
Retirement-
Personal Wealth
Solutions

Brent Reeves

JOHNSON
CONTROLS
Senior Treasury
Manager

Donna M.

Sweeney, CFP
THE STATE
UNIVERSITY OF
NEW YORK
Retirement
Program Manager

Rachel Weker

T. ROWE PRICE
Vice President and
Senior Manager,

Marketing & Client
Experience

9:50 AM - 10:40
AM

PANEL

DC Lineup Construction – The Growing Appeal for Simplicity, Lower Cost Investments, and More Personalization Through Managed Accounts, Collective Investment Trusts (“CITs”), and White Labeling (Multi-Manager Funds)

Investors with too many investment options often feel overwhelmed. They may mistakenly misallocate either by equally weighting all investment options or by investing far too conservatively. White labeling can help reduce the number of investment choices while still providing appropriate diversification. Higher fee investment options continue to threaten retirement balances. Transitioning away from higher fee mutual funds to lower cost CITs, either as a single investment option or part of a White Label, Multi-Manager Fund, may potentially improve retirement outcomes, while easing participant decision making. Another option aimed at helping to produce more successful retirement outcomes is through the use of managed accounts, which has steadily increased over the past decade. As opposed to pre-packaged target-date funds, which diversify depending on a participant’s age, managed accounts offer more dynamic adjustments as markets change and tends to be more suited for participants requiring more advice and personalization. What are the best lineup options for your plan?

MODERATOR

Diane (Vallerie) Improta

SEI - INVESTMENT MANAGER
SERVICES

Senior Director, Retirement &
Consultant Relations

SPEAKER

Hunter Bethea

TENNESSEE

TREASURY

DEPARTMENT

Director, Deferred
Compensation

Josh Newmister,

CFA

META

Human

Resources/Benefit
s Leader

Joseph Szalay,

CFA, CAIA

PIMCO

Senior Vice

President, Defined
Contribution

Kenneth Verzella

EMPOWER
Vice President,
Participant
Advisory Services

10:40 AM - 11:15
AM

NETWORKING BREAK

This is one of the last opportunities for networking with attendees. Take advantage of the opportunity to meet new plan sponsors and share ideas on how to improve your plan.

11:15 AM - 12:10
PM

PANEL

Retirement Plan Resilience –Is your TDF prepared?

During the event, attendees will have an opportunity to contribute their thoughts on meeting the challenges of 2023 and share perspectives on what resilience in retirement plan management means. P&I will collect on-site feedback and share insights throughout this final panel discussion about changes that can be made after DC East concludes answering the question:

- ***What strategies is your plan using to hedge inflation and avoid risk in the current market downturn? Is it working?***
- ***Is there a DC lineup or investment strategy that you learned about at DC East that you'll try to adopt for your own plan?***

Panelists will discuss their continued concern over market decline and ways TDFs can incorporate various strategies such as investing in TIPS, Core Private Real Estate, REITS, and commodities as diversifiers to help address inflation, an especially key concern for participants as they near retirement age.

SPEAKER

William (Chris) Biddle

KENTUCKY
PUBLIC
EMPLOYEES'
DEFERRED
COMPENSATION
AUTHORITY
Executive Director

Jani Venter

Co-President of
the DEFINED
CONTRIBUTION
REAL ESTATE
COUNCIL (DCREC)
Executive Director,
Fund
Management

Team, J.P.
Morgan's DC
Private Real Estate
Solutions

**12:10 PM - 12:30
PM**

2023 EDDY AWARDS

The Eddy Awards is an annual program recognizing plan sponsors and service providers that exhibit best practices in offering investment and financial education to defined contribution plan participants

PRESENTERS

Nikki Pirrello

PENSIONS & INVESTMENTS
President and Publisher

**12:30 PM - 1:00
PM**

ROUNDTABLE DISCUSSIONS: Meet the EDDY Winners and Get a Close-Up Look at the Secrets to their Success

**Pre-Retirement Preparation and Ongoing Investment
Education**

Roundtable Facilitator

Robert Steyer

PENSIONS & INVESTMENTS
Reporter

Conversions/Plan Transitions

Roundtable Facilitator

Brian Croce

PENSIONS & INVESTMENTS
Washington Reporter

Special Projects / Special Projects: COVID-19 Crisis

Roundtable Facilitator

Margarida Correia

PENSIONS &
INVESTMENTS
Reporter

Julie Tatge

PENSIONS &
INVESTMENTS
Executive Editor

**12:55 PM - 1:00
PM**

CLOSING REMARKS

Nikki Pirrello

PENSIONS &
INVESTMENTS
President and
Publisher

**1:00 PM - 2:00
PM**

CLOSING LUNCHEON

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DC INSTITUTE
DC PLAN SPONSOR MEMBERSHIP

**Institutional
Investor**

DEFINED CONTRIBUTION INSTITUTE

CHICAGO 2023

APRIL 18-19, 2023 | FOUR SEASONS HOTEL | CHICAGO

IN THE EYE OF THE STORM? STEERING DEFINED CONTRIBUTION AMID INFLATION, RECESSION FEARS, LAYOFFS, AND ALL THE ENSUING AFTERMATH

It's often said that only when the tide goes out do people realize who have been swimming naked. For an industry like defined contribution, the past decade has mostly been a stable and strong ride. General market performance has been on an almost uninterrupted upward trend since the financial crisis, adaption of auto-features and implementations of other plan design innovations have lifted participation and saving rates, even as the industry discusses the need for more work. Attention has been shifting towards the post-retirement, decumulation phase and other holistic financial wellness needs of participants, and all seemed calm and steady.

Yet as we all know, the only constant is change, the world and our industry have experienced a pandemic and all its impacts in the aftermath. The ensuing inflationary pressures directly pressures both savers and retirees, all while going through multiple news cycles of the great retirement at first, and now a potential recession that involves heavy layoffs in certain industries. Dependable markets have become less so, from meme stocks to new securities, a somewhat-golden era for plan sponsors is coming to a close.

How should plan sponsors prepare and act in the face of all this? Are we in the middle of the storm or is there more turbulence yet to come? Join the DC Institute at Chicago in 2023 to hear from experts and peers as we collectively chart the way forward.

2023 ADVISORY BOARD

The Defined Contribution Institute would like to thank our esteemed advisory board for their help in guiding, crafting, and contributing to our agendas.

Bernie Knobbe, *Vice President, Global Benefits, AECOM* (Chair)

Liz Kindseth, *Director, Retirement Benefits, Allied Universal*

Paul Visconti, *Director, Retirement Programs & Investments, Avangrid*

Sandy Blair, *Administrator, California State Savings Plus Program*

Beth Claar, *General Manager of Benefit Plan Investments, Chevron*

Vicky Erwin, *Senior Investment Director, Pensions and Benefits, Eli Lilly & Co.*

Chip Weule, *Chief Benefits Officer, Fire and Police Pension Association of Colorado*

Kyle Cotruffello, *Benefits Program Manager, US Benefits, Google*

Roger Paschke, *Chief Investment Officer, Hearst Corporation*

Michael Jabs, *Senior Manager of Pensions, Kraft Heinz Company*

Beth Pattillo, *Director, Retirement Programs, Leidos*

Shafer Smith, *Portfolio Manager, Public Equities, Lockheed Martin*

Marco Diaz, *Senior Vice President, Global Head of Benefits, News Corporation*

Ron Shaw, *Financial Wellbeing & Retirement Manager, Paychex*

Chad Ryan, *Head of Total Rewards, Americas, Phillip Morris International*

Margaret 'Peggy' Haering, *Counsel & Assistant Director, Healthcare Policy & Benefits, State of Connecticut*

Retirement Plans & Trust Fund

Rob Boehmer, *Executive Officer, State of Nevada Public Employees' Deferred Compensation Program*

Anita Volta, *Executive Director of Benefits, Sutter Health*

Martin Bauer, *Senior Managing Director, Benefit Plans, Wespeth Investment Management*

TUESDAY, APRIL 18

8:00am to 9:15am

Registration and Breakfast

9:15am to 9:20am

Introduction and App Tutorial

Presented by:

Jerry Xia, *Director, Defined Contribution Institute, Institutional Investor*

Hannah Quapp, *Client Services Director, Institutional Investor*

9:20am to 9:30am

Chairperson's Welcome & Interactive Polling Session

Chairperson:

Bernie Knobbe, *Vice President, Global Benefits, Corporate Total Rewards, AECOM*

What are the investment issues, concerns, and priorities of your peers? What strategies and goals are front of mind for this group of industry stakeholders? In this opening session attendees will be polled on a number of high-interest topics using an interactive electronic response system. By doing this, we will provide all attendees with useful and unique insights to be considered throughout the rest of the conference.

9:30am to 10:20am

Panel Discussion (40 Minutes)

Cloudy with a Chance of Soft Landing? The 2023 Macroeconomic Prognosis for Plan Sponsors and Participants

Moderator:

To be confirmed.

Panelists:

Lisa Shalett, *Chief Investment Officer, Graystone Consulting from Morgan Stanley* (Pending)

Jared Gross, *Managing Director, Head of Institutional Portfolio Strategy, J.P. Morgan Asset Management*

Additional panelists to be confirmed.

Worries over inflation and recession are rightfully on top of everyone's minds, but for plan sponsors and participants who have enjoyed a decade of almost uninterrupted steady growth and calm market conditions, signs of turbulence are especially troubling for an industry reliant on stability. Kicking off our 2023 Chicago Forum, a group of experts will convene to discuss their thoughts on macroeconomic picture beyond the headlines for 2023 and beyond, and what plan sponsors should be thinking about with regards to the investment picture and communicating to plan participants.

Table Peer Discussions (10 Minutes)

Attendees will discuss among themselves at their tables on what they just heard from the presenters. What do they agree or disagree on, and why?

10:20am to 10:45am

Presentation

Presented by:

To be confirmed.

10:45am to 11:10am

Coffee Break

11:10am to 11:40am

Plan Sponsor Case Study

How Are Economic and Market Conditions Impacting Your Company Stock Option?

Presented by:

Shafer Smith, *Portfolio Manager, Public Equities, Lockheed Martin Investment Management Company*

ESOP's and company stock have come back on many plan sponsors' agenda in full force as the market situations have fluctuated through the past few years. Hear from plan sponsors themselves on how they have managed their company stock option over the years, what they're hearing from participants, and how they are evaluating the pros and cons going forward.

11:40am to 12:30pm

Panel Discussion (40 Minutes)

Fortress DC: Upping Your Game on Cybersecurity and Harnessing the Potentials of Technology

Moderator:

To be confirmed.

Panelists:

Senior representative, ABA Retirement Fund

Additional panelists to be confirmed.

The defined contribution space will only get more and more digitized going forward, and while leaving behind the paper trails have proved to be game-changing and time-saving, it has also opened a whole new front for plan sponsors to consider on cybersecurity. Balancing these digital advances and training your team and participants on securing these advances will undoubtedly take up more and more of plan sponsors' time and attention – hear from this panel of experts and stakeholders on their thoughts, advice, and battle plans that they have honed from years of experience.

Table Peer Discussions (10 Minutes)

Attendees will discuss among themselves at their tables on what they just heard from the presenters. What do they agree or disagree on, and why?

12:30pm to 1:45pm

Lunch

1:45pm to 2:50pm

Presentation Series

Entering a Brave New World: Assumptions that Plan Sponsors Need to Reevaluate, and What They Should Act on Today

Session Chairperson:

To be confirmed.

Presentation 1 (15 Minute)

Is Fixed Income Having an Identity Crisis?

Presented by:

Jessica Sclafani, *Senior Defined Contribution Strategist*, T. Rowe Price

Against a backdrop of inflationary pressures and rapidly rising interest rates, plan sponsors may need to rethink their expectations for the role of fixed income in participant portfolios. We'll share new research on how plan sponsors offer participants access to fixed income markets and offer insights on how they may want to revisit the types of fixed income exposure participants have, both on the plan menu and within a multi-asset structure.

Presentation 2 (15 Minutes)

Presented by:

To be confirmed.

Presentation 3 (15 Minutes)

Picture This: Understanding the Art (and Science) of Retirement Communications

Presented by:

Sue Walton, *SVP, Senior Retirement Strategist*, Capital Group | American Funds

Toni Brown, *CFA, SVP - Retirement Strategy*, Capital Group | American Funds

An older couple walks along a beach, looking out into the water. A grandfather hugs his granddaughter on a park bench on a sunny day. What perfect images to illustrate living in retirement! Not so fast... In a recent study on language and imagery, Capital Group found that different pictures and words create better emotional connections across audiences, leading to more engaging and inspiring education, guidance, and support for participants on their journey to retirement. Learn how to take your education and communication to the next level in this session.

Table Discussion (10 Minutes)

Attendees will discuss among themselves at their tables on what they just heard from the presenters. What do they agree or disagree on, and why? Discussion leaders will also be assigned to come up with questions for the presenters once they reconvene as a panel.

Audience Q&A (10 Minutes)

Session Chairperson:

To be confirmed.

2:50pm to 3:30pm

Breakout Series I

Inflating Pains: Plan Sponsors' and Participants' Do's and Don'ts on Inflation

Breakout A

Presented by:

To be confirmed.

Breakout B

Presented by:

To be confirmed.

3:30pm to 3:50pm

Coffee Break

3:50pm to 4:30pm

Breakout Series II

The Guide to a New Era of Low(er) Returns

Breakout C

Presented by:

To be confirmed.

Breakout D

Presented by:

To be confirmed.

4:30pm to 5:20pm

Panel Discussion (40 Minutes)

Year Zero: Near-Retirees and Their Financial Picture, Well-Being, Regrets, Hopes and Taking that Leap of Faith

Moderator:

Carol Dohr, *Retirement Plan Manager, William Blair & Company*

Panelists:

Senior representative, Vanguard (Proposed)

Additional panelists to be confirmed.

We heard last year in the media and on the conference circuit and the idea of a “Great Retirement” or the “Silver Tsunami” – something that looks a bit different this year. Yet as the industry often focuses on actual retirees and decumulation, and how to get younger demographics onboard the DC train, the financial and holistic wellness needs of near-retirees remain a crucial matter. The decisions they make as they prepare for the next chapter underpin all the work that the industry has done over the past few years and teach lessons on what our next steps should be – hear from these panelists on what plan sponsors should be considering as this demographic prepares to take the leap of faith into retirement.

Table Peer Discussions (10 Minutes)

Attendees will discuss among themselves at their tables on what they just heard from the presenters. What do they agree or disagree on, and why?

5:30pm

Cocktail Reception and Dinner

WEDNESDAY, APRIL 19

8:30am to 9:30am

Morning Discussion Tables

Discussion Table 1

How Much Financial Literacy is Too Much? Communicating the Hard to Communicate with Your Participants

Discussion Leader:

To be confirmed.

Discussion Table 2

Tales from the Crypt: How are Plan Sponsors with an Active DB Plan Faring?

Discussion Leader:

To be confirmed.

Discussion Table 3

ESG, Alts, Student Loan Programs and More: What to do When Not All Your Participants Want Something in Their DC Plan

Discussion Leader:

To be confirmed.

Discussion Table 4

Roundtable for University Plan Sponsors

Discussion Leader:

To be confirmed.

9:30am to 10:20am

Panel Discussion (40 Minutes)

Calling All Vendors: The Providers, Managers, Consultants, and Stakeholders You'll Need to Implement a True and Tested Retirement Income Solution

Moderator:

To be confirmed.

Panelists:

Senior representative, BlackRock

Additional panelists to be confirmed.

As the industry closes in on the practical meanings of providing retirement income solutions and strategies to participants, a different, yet perhaps more important topic, comes up. How to fine-tune the logistics and shape and contours of a product and managing all of the relevant providers along the way will be crucial in making participants trust and adapt said product. Hear from different stakeholders on they envision this complicated landscape and what they're doing for the industry to uncomplicate the process.

Table Peer Discussions (10 Minutes)

Attendees will discuss among themselves at their tables on what they just heard from the presenters. What do they agree or disagree on, and why?

10:20am to 10:50am

Fireside Chat

Presented by:

To be confirmed.

10:50am to 11:10am

Coffee Break

11:10am to 11:50am

Guest Presentation

The Future of Social Security as the Primary Pillar for Retirement and Its Intersections with Defined Contribution Plans like 401ks

Presented by:

Steve Goss, *Chief Actuary, Social Security Administration**

11:50am to 12:30pm

Panel Discussion

After the Victory Lap: The To-Do List for Plan Sponsors on the Passing of SECURE 2.0

Moderator:

To be confirmed.

Panelists:

Demi Hannon, *Director, Global Financial Benefits and Wellbeing, Boeing** (Proposed)

Additional panelists to be confirmed.

With SECURE 2.0 behind us via the year-end spending bill, Congress has yet again held on to its bipartisan traditions on retirement-related legislations. Some provisions come to effect immediately, while others will give plan sponsors and providers a few years to prepare. More ideas are also on the way for the perennial challenge of crafting an ample and sustainable retirement system. Hear from experts on what plan sponsors



Nevada

Deferred Compensation

2023
Committee Meetings
January 10, 2023 Annual Planning Meeting Nevada State Library & Archives 100 N. Stewart Street, Board Room Carson City
February 23, 2023 Quarterly Meeting Virtual Meeting
June 9, 2023 Quarterly Meeting Nevada State Library & Archives 100 N. Stewart Street, Board Room Carson City
August/September Quarterly Meeting
November/December Quarterly Meeting