

## Managed by Morningstar Enrollment Form

By completing this form, you are entering into an agreement with Morningstar Investment Management, LLC ("Morningstar") to manage your employer-sponsored retirement account. Morningstar will manage the investments in your retirement plan account based on the personal information you provide below, in addition to information provided by Voya Financial. **Please note that you must be enrolled in your employer's retirement plan to be enrolled in the Managed by Morningstar service.**

The annual fee for Morningstar's services is up to 0.50% of the assets in your account; one-quarter of the annual fee will be deducted from your account on a quarterly basis. For example, for a \$40,000 account balance, the quarterly charge will be a maximum of \$50:  $\$40,000 \times 0.50\%/4$ .

**Please fill in the following required data and sign the application to complete your enrollment.**

Morningstar takes your privacy very seriously. The information we are collecting will be used only for the purpose of managing your retirement account. All information will be treated as confidential.

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### Personal Information

(please print clearly)

Last Name \_\_\_\_\_ First Name \_\_\_\_\_ Middle Initial \_\_\_\_\_

Company Name \_\_\_\_\_

Daytime Telephone (\_\_\_\_\_) \_\_\_\_\_

Gender  Male  Female

Date of Birth (MM-DD-YYYY) \_\_\_\_\_

Last 4 Digits of Social Security # \_\_\_\_\_

(for identification purposes only)

E-mail Address \_\_\_\_\_

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### Enrollment Authorization

I want to enroll in the Managed by Morningstar service. I acknowledge that I have received, read, understand, and agree to the terms of the Morningstar Managed Accounts Advisory Agreement, Form ADV Part 2, and Morningstar's Privacy Policy. In addition, I acknowledge that my account will be charged an annual fee of up to 0.50% based on my account balance.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

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### What To Do Next

To enroll in the service, simply return this completed form to your Morningstar representative. Forms can be mailed to:

Morningstar Investment Management  
Attn: Operations  
22 W. Washington St Chicago, IL 60602

If you would like Morningstar to further personalize your investment strategy, you may log on to [www.Voyaretirementplans.com](http://www.Voyaretirementplans.com) to provide additional information, such as other investment accounts, other sources of retirement income or the retirement savings of your spouse or partner.

**Please allow 3 to 4 weeks for processing.**

FSR \_\_\_\_\_

Plan Name \_\_\_\_\_

Plan Number \_\_\_\_\_

